

Compliance Record

As a firm, we are committed to ensuring we not have a robust and comprehensive compliance training program for our employees. We therefore would like to thank you in advance for completing this submission of confirmation that you have attended the relevant training program as specified below.

(the “Company”) Compliance Training Program

1. Program Details

(i) Company Name	
(ii) Compliance Program Name	
(iii) Date Compliance Training Conducted	
(iv) Held at (Location/Virtual)	
(v) Your Name	
(vi) Your Email	

2. Your Confirmations

I confirm that I attended the	
I understand my obligations and the company requirements to comply with the following:	
UK Data Protection Act/EU GDPR	
Data Classification Policy	
Clear Desk Clear Screen Policy	
Acceptable Use Policy	
I acknowledge my wider confidentiality obligations under my contract of employment, the contractual obligations entered into by the Company with its customers and under the law generally.	